

THE TWO DIMENSIONAL HANNAY-BERRY MODEL

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Abstract

The main goal of this paper is to construct the Hannay-Berry model of quantum mechanics, on a two dimensional symplectic torus. We construct a simultaneous quantization of the algebra of functions and the linear symplectic group $\Gamma = \mathrm{SL}_2(\mathbb{Z})$. We obtain the quantization via an action of Γ on the set of equivalence classes of irreducible representations of Rieffel's quantum torus \mathcal{A}_{\hbar} . For $\hbar \in \mathbb{Q}$ this action has a unique fixed point. This gives a canonical projective equivariant quantization. There exists a Hilbert space on which both Γ and \mathcal{A}_{\hbar} act equivariantly. Combined with the fact that every projective representation of Γ can be lifted to a linear representation, we also obtain linear equivariant quantization.

0 Introduction

0.1 Motivation

In the paper “*Quantization of linear maps on the torus - Fresnel diffraction by a periodic grating*”, published in 1980 (cf. [HB]), the physicists J. Hannay and M.V. Berry explore a model for quantum mechanics on the 2-dimensional torus. Hannay and Berry suggested to quantize simultaneously the functions on the torus and the linear symplectic group $\Gamma = \mathrm{SL}_2(\mathbb{Z})$. They found (cf. [HB],[Me]) that the theta subgroup $\Gamma_{\Theta} \subset \Gamma$ is the largest that one can quantize and asked (cf. [HB],[Me]) whether the quantization of Γ satisfy a multiplicativity property (i.e., is a linear representation of the group). In this paper we want to *construct* the Hannay-Berry's model for the bigger group of symmetries, i.e., the whole symplectic group Γ . The central *question* is whether there exists a Hilbert space on which a deformation of the algebra of functions and the linear symplectic group Γ both act in a compatible way.

0.2 Results

In this paper we give an *affirmative* answer to the existence of the quantization procedure. We show a construction (Theorem 0.3, Corollary 0.4 and Theorem 0.5) of the canonical equivariant quantization procedure for rational Planck constants. It is *unique* as a projective quantization (see definitions below). We show that the projective representation of Γ can be lifted in exactly 12 different ways to a linear representation (to obey the multiplicativity property). These are the first examples of such equivariant quantization for the whole symplectic group Γ . Our construction slightly *improves* the known constructions [HB, Me, KR1] for which the group of quantizable elements is $\Gamma_\Theta \subset \Gamma$ and gives a *positive* answer to the Hannay-Berry question on the linearization of the projective representation of the group of quantizable elements. (cf. [HB], [Me]). Previously it was shown by Mezzadri and Kurlberg-Rudnick (cf. [Me], [KR1]) that one can construct an equivariant quantization for the theta subgroup, in case when the Planck constant is of the form $\hbar = \frac{1}{N}$, $N \in \mathbb{N}$.

0.2.1 Classical torus

Let (\mathbf{T}, ω) be the two dimensional symplectic torus. Together with its linear symplectomorphisms $\Gamma \simeq \mathrm{SL}_2(\mathbb{Z})$ it serves as a simple model of classical mechanics (a compact version of the phase space of the harmonic oscillator). More precisely, let $\mathbf{T} = W/\Lambda$ where W is a two dimensional real vector space, i.e., $W \simeq \mathbb{R}^2$ and Λ is a rank two lattice in W , i.e., $\Lambda \simeq \mathbb{Z}^2$. We obtain the symplectic form on \mathbf{T} by taking a non-degenerate symplectic form on W :

$$\omega : W \times W \longrightarrow \mathbb{R}.$$

We require ω to be integral, namely $\omega : \Lambda \times \Lambda \longrightarrow \mathbb{Z}$ and normalized, i.e., $\mathrm{Vol}(\mathbf{T}) = 1$.

Let $\mathrm{Sp}(W, \omega)$ be the group of linear symplectomorphisms, i.e., $\mathrm{Sp}(W, \omega) \simeq \mathrm{SL}_2(\mathbb{R})$. Consider the subgroup $\Gamma \subset \mathrm{Sp}(W, \omega)$ of elements that preserve the lattice Λ , i.e., $\Gamma(\Lambda) \subseteq \Lambda$. Then $\Gamma \simeq \mathrm{SL}_2(\mathbb{Z})$. The subgroup Γ is the group of linear symplectomorphisms of \mathbf{T} .

We denote by $\Lambda^* \subseteq W^*$ the dual lattice:

$$\Lambda^* := \{\xi \in W^* \mid \xi(\Lambda) \subset \mathbb{Z}\}.$$

The lattice Λ^* is identified with the lattice $\mathbf{T}^\vee := \mathrm{Hom}(\mathbf{T}, \mathbb{C}^*)$ of characters of \mathbf{T} by the following map:

$$\xi \in \Lambda^* \longmapsto e^{2\pi i \langle \xi, \cdot \rangle} \in \mathbf{T}^\vee.$$

The form ω allows us to identify the vector spaces W and W^* . For simplicity we will denote the induced form on W^* also by ω .

0.2.2 Equivariant quantization of the torus

We will construct a particular type of quantization procedure for the functions. Moreover this quantization will be equivariant with respect to the action of the “classical symmetries” Γ :

Definition 0.1 *By Weyl quantization of \mathcal{A} we mean a family of \mathbb{C} -linear, $*$ -morphisms $\pi_{\hbar} : \mathcal{A} \longrightarrow \text{End}(\mathcal{H}_{\hbar})$, $\hbar \in \mathbb{R}$, where \mathcal{H}_{\hbar} is a Hilbert space, s.t. the following property holds:*

$$\pi_{\hbar}(\xi + \eta) = e^{\pi i \hbar \omega(\xi, \eta)} \pi_{\hbar}(\xi) \pi_{\hbar}(\eta)$$

for all $\xi, \eta \in \Lambda^*$ and $\hbar \in \mathbb{R}$.

This type of quantization procedure will obey the “usual” properties (cf. [D4]):

$$\begin{aligned} \|\pi_{\hbar}(fg) - \pi_{\hbar}(f)\pi_{\hbar}(g)\|_{\mathcal{H}_{\hbar}} &\longrightarrow 0, \quad \text{as } \hbar \rightarrow 0, \\ \|\frac{i}{\hbar}[\pi_{\hbar}(f), \pi_{\hbar}(g)] - \pi_{\hbar}(\{f, g\})\|_{\mathcal{H}_{\hbar}} &\longrightarrow 0, \quad \text{as } \hbar \rightarrow 0. \end{aligned}$$

where $\{, \}$ is the Poisson brackets on functions.

Definition 0.2 *By equivariant quantization of \mathbf{T} we mean a quantization of \mathcal{A} with additional maps $\rho_{\hbar} : \Gamma \longrightarrow \text{U}(\mathcal{H}_{\hbar})$ s.t. the following equivariant property (called Egorov’s identity) holds:*

$$\rho_{\hbar}(B)^{-1} \pi_{\hbar}(f) \rho_{\hbar}(B) = \pi_{\hbar}(f \circ B) \quad (0.2.1)$$

for all $\hbar \in \mathbb{R}$, $f \in \mathcal{A}$ and $B \in \Gamma$. Here $\text{U}(\mathcal{H}_{\hbar})$ is the group of unitary operators on \mathcal{H}_{\hbar} . If $(\rho_{\hbar}, \mathcal{H}_{\hbar})$ is a projective (respectively linear) representation of the group Γ then we call the quantization projective (respectively linear).

The idea of the construction is as follows: We use a “deformation” of the algebra \mathcal{A} of functions on \mathbf{T} . We define an algebra \mathcal{A}_{\hbar} , usually called the two dimensional non-commutative torus (cf. [Ri]). If $\hbar = \frac{M}{N} \in \mathbb{Q}$, then we will see that all irreducible representations of \mathcal{A}_{\hbar} have dimension N . We denote by $\text{Irr}(\mathcal{A}_{\hbar})$ the set of equivalence classes of irreducible algebraic representations of the quantized algebra. We will see that $\text{Irr}(\mathcal{A}_{\hbar})$ is a set “equivalent” to a torus.

The group Γ naturally acts on a quantized algebra \mathcal{A}_{\hbar} and hence on the set $\text{Irr}(\mathcal{A}_{\hbar})$. Let $\hbar = \frac{M}{N}$ with $\text{gcd}(M, N) = 1$. The following holds:

Theorem 0.3 (Canonical equivariant representation) *There exists a unique (up to isomorphism) N -dimensional irreducible representation $(\pi_{\hbar}, \mathcal{H}_{\hbar})$ of \mathcal{A}_{\hbar} for which its equivalence class is fixed by Γ .*

This means that:

$$\pi_h \simeq \pi_h^B$$

for all $B \in \Gamma$.

Since the canonical representation (π_h, \mathcal{H}_h) is irreducible, by Schur's lemma we get the canonical projective representation of Γ compatible with π_h :

Corollary 0.4 (Canonical projective representation) *There exists a unique projective representation $\rho_p : \Gamma \longrightarrow \mathrm{PGL}(\mathcal{H}_h)$ s.t.:*

$$\rho_p(B)^{-1} \pi_h(f) \rho_p(B) = \pi_h(f \circ B)$$

for all $f \in \mathcal{A}$ and $B \in \Gamma$.

Remark. Corollary 0.4 is an improvement to the known constructions (cf. [HB, Me, KR1]) which has the group $\Gamma_\Theta := \{ \begin{pmatrix} a & b \\ c & d \end{pmatrix} \mid ab = cd = 0 \pmod{2} \}$ as the group of quantizable elements.

Using a result of Coxeter-Moser [CM] about the structure of the group Γ we get:

Theorem 0.5 (Linearization) *The projective representation ρ_p can be lifted to a linear representation in exactly 12 different ways.*

Remark. The existence of the linear representation ρ_h in Theorem 0.5 answers Hannay-Berry's question (cf. [HB, Me]) on the multiplicativity of the map ρ_h .

Summary. For $\hbar \in \mathbb{Q}$ let $(\rho_h, \pi_h, \mathcal{H}_h)$ be the canonical (projective) equivariant quantization of \mathbf{T} . We can endow the space \mathcal{H}_h with a canonical unitary structure s.t. π_h is a $*$ -representation and ρ_h is unitary. This “family” of $*$ -representations of \mathcal{A}_h is by definition a Weyl quantization of the functions on the torus. The above results show the existence of a canonical projective equivariant quantization of the torus, and the existence of a linear equivariant quantization of the torus.

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1 Construction

We consider the algebra $\mathcal{A} := C^\infty(\mathbf{T})$ of smooth complex valued function on the torus and the dual lattice $\Lambda^* := \{\xi \in V^* \mid \xi(\Lambda) \subset \mathbb{Z}\}$. Let \langle, \rangle be the pairing between V and V^* . The map $\xi \mapsto s(\xi)$ where $s(\xi)(x) := e^{2\pi i \langle x, \xi \rangle}$, $x \in \mathbf{T}$ and $\xi \in \Lambda^*$ defines a canonical isomorphism between Λ^* and the group $\mathbf{T}^\vee := \text{Hom}(\mathbf{T}, \mathbb{C}^*)$ of characters of \mathbf{T} .

1.1 The quantum tori

Fix $\hbar \in \mathbb{R}$. The Rieffel's quantum torus (cf. [Ri]) is the non-commutative algebra \mathcal{A}_\hbar defined over \mathbb{C} by generators $\{s(\xi), \xi \in \Lambda^*\}$, and relations:

$$s(\xi + \eta) = e^{\pi i \hbar \omega(\xi, \eta)} s(\xi) s(\eta)$$

for all $\xi, \eta \in \Lambda^*$.

Note that the lattice Λ^* serves, using the map $\xi \mapsto s(\xi)$, as a basis for the algebra \mathcal{A}_\hbar . This induces an identification of vector spaces $\mathcal{A}_\hbar \simeq \mathcal{A}$ for every \hbar . We will use this identification in order to view elements of the (commutative) space \mathcal{A} as members of the (non-commutative) space \mathcal{A}_\hbar .

1.2 Weyl quantization

To get a Weyl quantization of \mathcal{A} we use a specific one-parameter family of representations (see subsection 1.4 below) of the quantum tori. This defines an operator $\pi_\hbar(\xi)$ for every $\xi \in \Lambda^*$. We extend the construction to every function $f \in \mathcal{A}$ using the Fourier theory. Suppose:

$$f = \sum_{\xi \in \Lambda^*} a_\xi \cdot \xi$$

is its Fourier expansion. Then we define its *Weyl quantization* by:

$$\pi_\hbar(f) := \sum_{\xi \in \Lambda^*} a_\xi \pi_\hbar(\xi).$$

The convergence of the last series is due to the rapid decay of the Fourier coefficients of the function f .

1.3 Projective equivariant quantization

The group $\Gamma = \text{SL}_2(\mathbb{Z})$ acts on Λ preserving ω . Hence Γ acts on \mathcal{A}_\hbar and the formula of this action is $s^B(\xi) := s(B\xi)$. Given a representation $(\pi_\hbar, \mathcal{H}_\hbar)$ of \mathcal{A}_\hbar

and an element $B \in \Gamma$, define $\pi_h^B(s(\xi)) := \pi_h(s^{B^{-1}}(\xi))$. This formula induces an action of Γ on the set $\text{Irr}(\mathcal{A}_h)$ of equivalence classes of irreducible algebraic representations of \mathcal{A}_h .

Lemma 1.1 *All irreducible representations of \mathcal{A}_h are N -dimensional.*

Now, suppose $(\pi_h, \mathcal{A}_h, \mathcal{H}_h)$ is an irreducible representation for which its equivalence class is fixed by the action of Γ . This means that for any $B \in \Gamma$ we have $\pi_h \simeq \pi_h^B$, so by definition there exists an operator $\rho_h(B) \in \text{GL}(\mathcal{H}_h)$ such that:

$$\rho_h(B)^{-1} \pi_h(\xi) \rho_h(B) = \pi_h(B\xi)$$

for all $\xi \in \Lambda^*$. This implies the Egorov identity (0.2.1) for any function f . Now, since (π_h, \mathcal{H}_h) is an irreducible representation then by Schur's lemma for every $B \in \Gamma$ the operator $\rho_h(B)$ is uniquely defined up to a scalar. This implies that (ρ_h, \mathcal{H}_h) is a projective representation of Γ .

1.4 The canonical equivariant quantization

In what follows we consider only the case $h \in \mathbb{Q}$. We write h in the form $h = \frac{M}{N}$ with $\text{gcd}(M, N) = 1$.

Proposition 1.2 *There exists a unique $\pi_h \in \text{Irr}(\mathcal{A}_h)$ which is a fixed point for the action of Γ .*

1.5 Unitary structure

Note that \mathcal{A}_h becomes a $*$ -algebra using the formula $s(\xi)^* := s(-\xi)$. Let (π_h, \mathcal{H}_h) be the canonical representation of \mathcal{A}_h .

Remark 1.3 *There exists a canonical (unique up to scalar) unitary structure on \mathcal{H}_h for which π_h is a $*$ -representation.*

1.6 Realization

Choosing a symplectic basis for Λ^* we get the identifications $\Lambda^* \simeq \mathbb{Z} \oplus \mathbb{Z}$ and $\Gamma = \text{SL}_2(\mathbb{Z})$. We will consider the realization on the Hilbert space:

$$\mathcal{H} := L^2(\mathbb{Z}/N\mathbb{Z}).$$

1.6.1 Formula for π

The representation π is given by:

$$[\pi(m, n)f](x) = \alpha(m, n)\psi(nx)f(x + m),$$

where $\alpha(m, n) := (-1)^{M(m+n)}e^{\pi i \hbar mn}$ and $\psi(t)$ denote the additive character $\psi(t) := e^{2\pi i \hbar t}$ on $\mathbb{Z}/N\mathbb{Z}$.

1.6.2 formula for ρ

The projective representation ρ is described by the following formulas:

$$\left[\rho \begin{pmatrix} 1 & 1 \\ 0 & 1 \end{pmatrix} f \right](x) = Q(x)f(x),$$

where $Q(x) := (-1)^{\varepsilon x}e^{\pi i \hbar x^2}$, with $\varepsilon := MN \pmod{2}$, and:

$$\left[\rho \begin{pmatrix} 0 & -1 \\ 1 & 0 \end{pmatrix} f \right](x) = \widehat{f}(x),$$

where \widehat{f} denote the Fourier transform:

$$\widehat{f}(x) := \frac{1}{\sqrt{N}} \sum_{y \in \mathbb{Z}/N\mathbb{Z}} f(y)\psi(yx).$$

2 Proofs

2.1 Proof of Lemma 1.1

Suppose (π_h, \mathcal{H}_h) is an irreducible representation of \mathcal{A}_h .

Step 1. First we show that \mathcal{H}_h is finite dimensional. \mathcal{A}_h is a finite module over $Z(\mathcal{A}_h) = \{s(N\xi), \xi \in \Lambda^*\}$ which is contained in the center of \mathcal{A}_h . Because \mathcal{H}_h has at most countable dimension (as a quotient space of \mathcal{A}_h) and \mathbb{C} is uncountable then by Kaplansky's trick (cf. [MR]) $Z(\mathcal{A}_h)$ acts on \mathcal{H}_h by scalars. Hence $\dim \mathcal{H}_h < \infty$.

Step 2. We show that \mathcal{H}_h is N -dimensional. Choose a basis (e_1, e_2) of Λ^* s.t. $\omega(e_1, e_2) = 1$. Suppose $\lambda \neq 0$ is an eigenvalue of $\pi_h(e_1)$ and denote by \mathcal{H}_λ the corresponding eigenspace. We have the following commutation relation

$\pi_h(e_1)\pi_h(e_2) = \gamma\pi_h(e_2)\pi_h(e_1)$ where $\gamma := e^{-2\pi i \frac{M}{N}}$. Hence $\pi_h(e_2) : \mathcal{H}_{\gamma^j \lambda} \longrightarrow \mathcal{H}_{\gamma^{j+1} \lambda}$, and because $\gcd(M, N) = 1$ then $\mathcal{H}_{\gamma^i \lambda} \neq \mathcal{H}_{\gamma^j \lambda}$ for $0 \leq i \neq j \leq N-1$. Now, let $v \in \mathcal{H}_\lambda$ and recall that $\pi_h(e_2)^N = \pi_h(Ne_2)$ is a scalar operator. Then the space $\text{span}\{v, \pi_h(e_2)v, \dots, \pi_h(e_2)^{N-1}v\}$ is N -dimensional \mathcal{A}_h -invariant subspace hence it equals \mathcal{H}_h . \blacksquare

2.2 Proof of Proposition 1.2

Let us show the existence of a unique fixed point for the action of Γ on $\text{Irr}(\mathcal{A}_h)$.

Suppose (π_h, \mathcal{H}_h) is an irreducible representation of \mathcal{A}_h . By Schur's lemma for every $\xi \in \Lambda^*$ the operator $\pi_h(N\xi)$ is a scalar operator, i.e., $\pi_h(N\xi) = q_{\pi_h}(\xi) \cdot \text{I}$. We have $\pi_h(0) = \text{I}$ and hence $q_{\pi_h}(\xi) \neq 0$ for all $\xi \in \Lambda^*$. Thus to any irreducible representation we have attached a scalar function $q_{\pi_h} : \Lambda^* \longrightarrow \mathbb{C}^*$. Consider the set Q_h of *twisted characters* of Λ^* :

$$Q_h := \{q : \Lambda^* \longrightarrow \mathbb{C}^*, q(\xi + \eta) = (-1)^{MNw(\xi, \eta)} q(\xi)q(\eta)\}.$$

The group Γ acts naturally on this space by $q^B(\xi) := q(B^{-1}\xi)$. It is easy to see that we have defined a map $\mathbf{q} : \text{Irr}(\mathcal{A}_h) \longrightarrow Q_h$ given by $\pi_h \mapsto q_{\pi_h}$ and it is obvious that this map is compatible with the action of Γ . We use the space of twisted characters in order to give a description for the set $\text{Irr}(\mathcal{A}_h)$:

Lemma 2.1 *The map $\pi_h \mapsto q_{\pi_h}$ is a Γ -equivariant bijection:*

$$\mathbf{q} : \text{Irr}(\mathcal{A}_h) \longrightarrow Q_h.$$

Now, Proposition 1.2 follows from the following claim:

Claim 2.2 *There exists a unique $q_o \in Q_h$ which is a fixed point for the action of Γ .*

Proof of Lemma 2.1. Step 1. The map \mathbf{q} is surjective. Denote by $\mathbb{T} := \text{Hom}(\Lambda^*, \mathbb{C}^*)$ the group of complex characters of Λ^* . We define an action of \mathbb{T} on $\text{Irr}(\mathcal{A}_h)$ and on Q_h by $\pi_h \mapsto \chi\pi_h$ and $q \mapsto \chi^N q$, where $\chi \in \mathbb{T}$, $\pi_h \in \text{Irr}(\mathcal{A}_h)$ and $q \in Q_h$. The map \mathbf{q} is clearly a \mathbb{T} -equivariant map with respect to these actions. Since \mathbf{q} is \mathbb{T} -equivariant, it is enough to show that the action of \mathbb{T} on Q_h is transitive. Suppose $q_1, q_2 \in Q_h$. By definition there exists a character $\chi_1 \in \mathbb{T}$ for which $\chi_1 q_1 = q_2$. Let χ be one of the N 's roots of χ_1 then $\chi^N q_1 = q_2$.

Step 2. The map \mathbf{q} is one to one. Suppose (π_h, \mathcal{H}_h) is an irreducible representation of \mathcal{A}_h . It is easy to deduce from the proof of Lemma 1.1 (Step 2)

that for $\xi \notin N\Lambda^*$ we have $\text{tr}(\pi_h(\xi)) = 0$. But we know from character theory that an isomorphism class of a finite dimensional irreducible representation of an algebra is recovered from its character. This completes the proof of Lemma 2.1. \blacksquare

Proof of Claim 2.2. *Uniqueness.* Fix $q \in Q_h$. The map $\chi \mapsto \chi q$ give a bijection of \mathbb{T} with Q_h . But the trivial character $\mathbf{1} \in \mathbb{T}$ is the unique fixed point for the action of Γ on \mathbb{T} .

Existence. Choose a basis (e_1, e_2) of Λ^* s.t. $\omega(e_1, e_2) = 1$. This allows to identify Λ^* with $\mathbb{Z} \oplus \mathbb{Z}$. It is easy to see that the function:

$$q_o(m, n) = (-1)^{MN(mn+m+n)}$$

is a twisted character which is fixed by Γ . This completes the proof of Claim 2.2 and of Proposition 1.2. \blacksquare

2.3 Proof of Theorem 0.5

The theorem follows from the following proposition:

Proposition 2.3 *Fix a projective representation $\rho_p : \Gamma \longrightarrow \text{GL}(\mathcal{H}_h)$. Then it can be lifted to a linear representation in exactly 12 ways.*

Proof. *Existence.* We want to find constants $c(B)$ for every $B \in \Gamma$ s.t. $\rho_h := c(\cdot)\rho_p$ is a linear representation of Γ . This is possible to carry out due to the following fact:

Lemma 2.4 ([CM]) *The group Γ is isomorphic to the group generated by three letters S , B and Z subjected to the relations: $Z^2 = 1$ and $S^2 = B^3 = Z$.*

Lemma 2.4 \Rightarrow Existence. We need to find constants c_Z, c_B, c_S so that the operators $\rho_h(Z) := c_Z \rho_p(Z)$, $\rho_h(B) := c_B \rho_p(B)$, $\rho_h(S) := c_S \rho_p(S)$ will satisfy the identities:

$$\rho_h(Z)^2 = I, \rho_h(B)^3 = \rho_h(Z), \rho_h(S)^2 = \rho_h(Z).$$

This can be done by taking appropriate scalars.

Now, fix one lifting ρ_0 . Then for the collection of operators $\rho_h(B)$ which lifts ρ_p define a function $\chi(B)$ by $\rho_h(B) = \chi(B)\rho_0(B)$. It is obvious that ρ_h is a representation if and only if χ is a character. Thus liftings corresponds to characters. By Lemma 2.4 the group of characters $\Gamma^\vee := \text{Hom}(\Gamma, \mathbb{C}^*)$ is isomorphic to $\mathbb{Z}/12\mathbb{Z}$. \blacksquare

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